

### POLS 30005: The U.S. Congress

Dr. Rachel Porter

Why does Congress need political parties?

### Why Parties in Government?

- Parties are tools shaped by political actors (i.e. politicians)
- Parties help politicians accomplish their goals •
  - Gain political office, achieve policy goals, maximize power in government •

### Parties do this by aggregating interests to resolve collective action problems

• Conflict between individual interests & group interest; creates gridlock in government



С	ONGRESS		PRESIDENT	
,	73rd	1933-1935	Franklin D. Roosevelt	E
,	74th	1935-1937	Franklin D. Roosevelt	3
,				_
,	75th	1937-1939	Franklin D. Roosevelt	3
	76th	1939-1941	Franklin D. Roosevelt	
	77th	1941-1943	Franklin D. Roosevelt	
	78th	1943-1945	Franklin D. Roosevelt	
	79th	1945-1947	Harry Truman	
,	80th	1947-1949	Harry Truman	
	81st	1949-1951	Harry Truman	
	82nd	1951-1953	Harry Truman	
	83rd	1953-1955	Dwight D. Eisenhower	
	84th	1955-1957	<b>Dwight D. Eisenhower</b>	
	85th	1957-1959	Dwight D. Elsenhower	
	86th	1959-1961	Dwight D. Eisenhower	
	87th	1961-1963	John F. Kennedy	
	88th	1963-1965	Kennedy/Johnson	
	89th	1965-1967	Lyndon B. Johnson	
	90th	1967-1969	Lyndon B. Johnson	
	91st	1969-1971	Richard Nixon	
	92nd	1971-1973	Richard Nixon	
	93rd	1973-1975	Nixon/Ford	
	94th	1975-1977	Gerald R. Ford	
	95th	1977-1979	Jimmy Carter	
	96th	1979-1981	Jimmy Carter	
	97th	1981-1983	Ronald Reagan	
	98th	1983-1985	Ronald Reagan	
	99th	1985-1987	Ronald Reagan	
	100th	1987-1989	Ronald Reagan	
	101st	1989-1991	George H.W. Bush	
	102nd	1991-1993	George H.W. Bush	
	103rd	1993-1995	Bill Clinton	

**HOUSE OF** REPRESENTATIVES

DEMOCRATS REPUBLICANS

SENATE

5	JENALE					
1S	DEMOCRATS	REPUBLICANS				
_						
	59	36				

Franklin D. Roosevelt	313	117	59	36
Franklin D. Roosevelt	322	103	69	25
Franklin D. Roosevelt	333	89	75	17
Franklin D. Roosevelt	262	169	69	23
Franklin D. Roosevelt	267	162	66	28
Franklin D. Roosevelt	222	209	57	38
Harry Truman	243	190	57	38
Harry Truman	188	246	45	51
Harry Truman	263	171	54	42
Harry Truman	234	199	48	47
Dwight D. Elsenhower	213	221	46	48
Dwight D. Elsenhower	232	203	48	47
Dwight D. Eisenhower	234	201	49	47
Dwight D. Eisenhower	283	153	64	34
John F. Kennedy	262	175	64	36
Kennedy/Johnson	258	176	67	33
Lyndon B. Johnson	295	140	68	32
Lyndon B. Johnson	247	187	64	36
Richard Nixon	243	192	58	42
Richard Nixon	255	180	54	44
Nixon/Ford	242	192	56	42
Gerald R. Ford	291	144	61	37
Jimmy Carter	292	143	61	38
Jimmy Carter	277	158	58	41
Ronald Reagan	242	192	46	53
Ronald Reagan	269	166	46	54
Ronald Reagan	253	182	47	53
Ronald Reagan	258	177	55	45
George H.W. Bush	260	175	55	45
George H.W. Bush	267	167	56	44
Bill Clinton	258	176	57	43

### Recall...

### Mid 20th Century

- New Deal Coalition of Democrats from North and South •
- Overwhelming Democratic majorities in Congress •

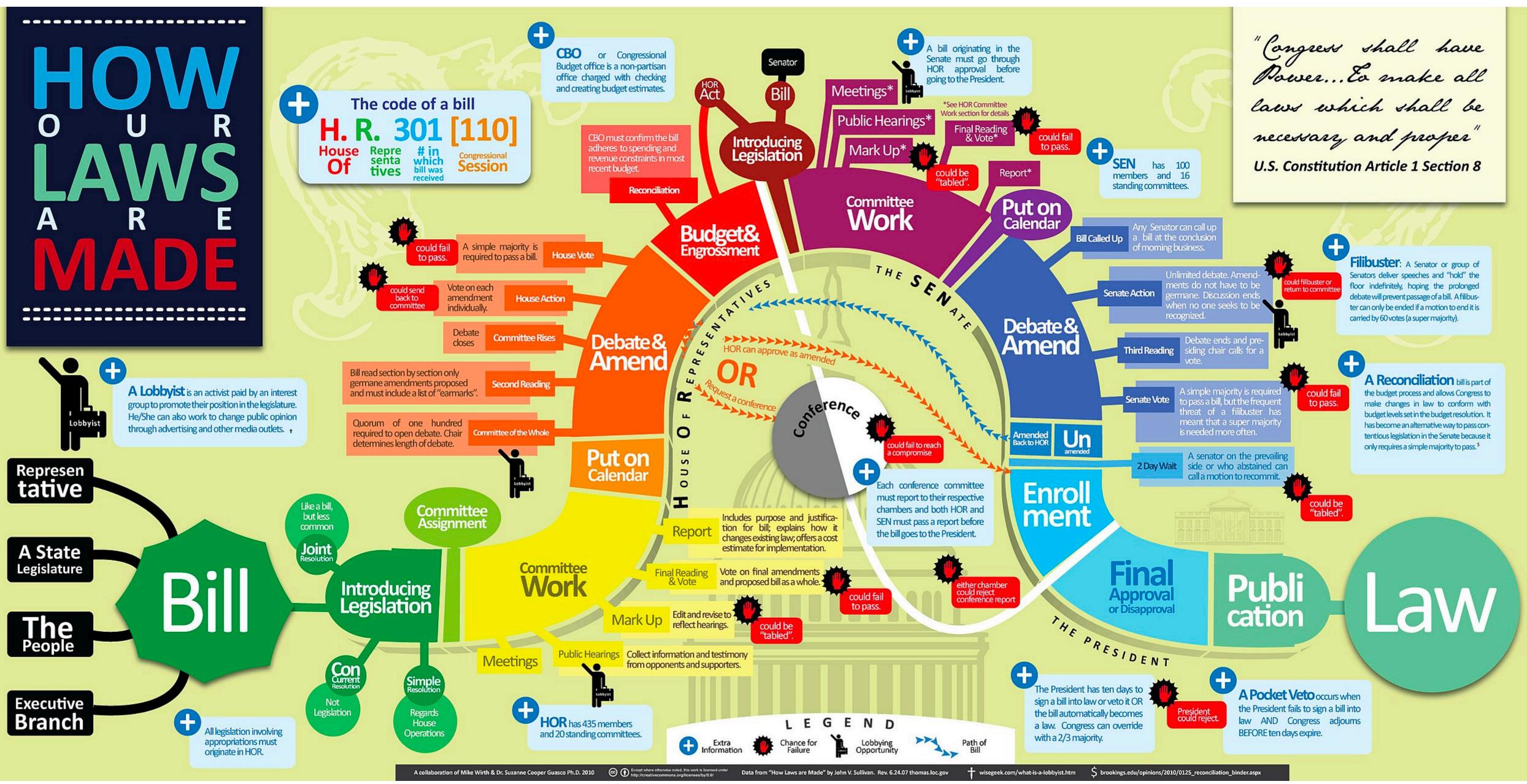
### Late 20th Century

- civil rights legislation
- the consideration process
  - This is why conservative Southern Dems. stayed with the party so long!

Northern & Southern Democrats begin to clash — particularly over the passage of

Southern Democrats serve as the **chairs** on many pivotal **committees** and hold up





## **Remaking the Rules in Congress**

- Committee Chairs
  - Rulers of their committee's fiefdom: determine agenda, call hearings, lead markups, determine staff, complete control over committee schedule

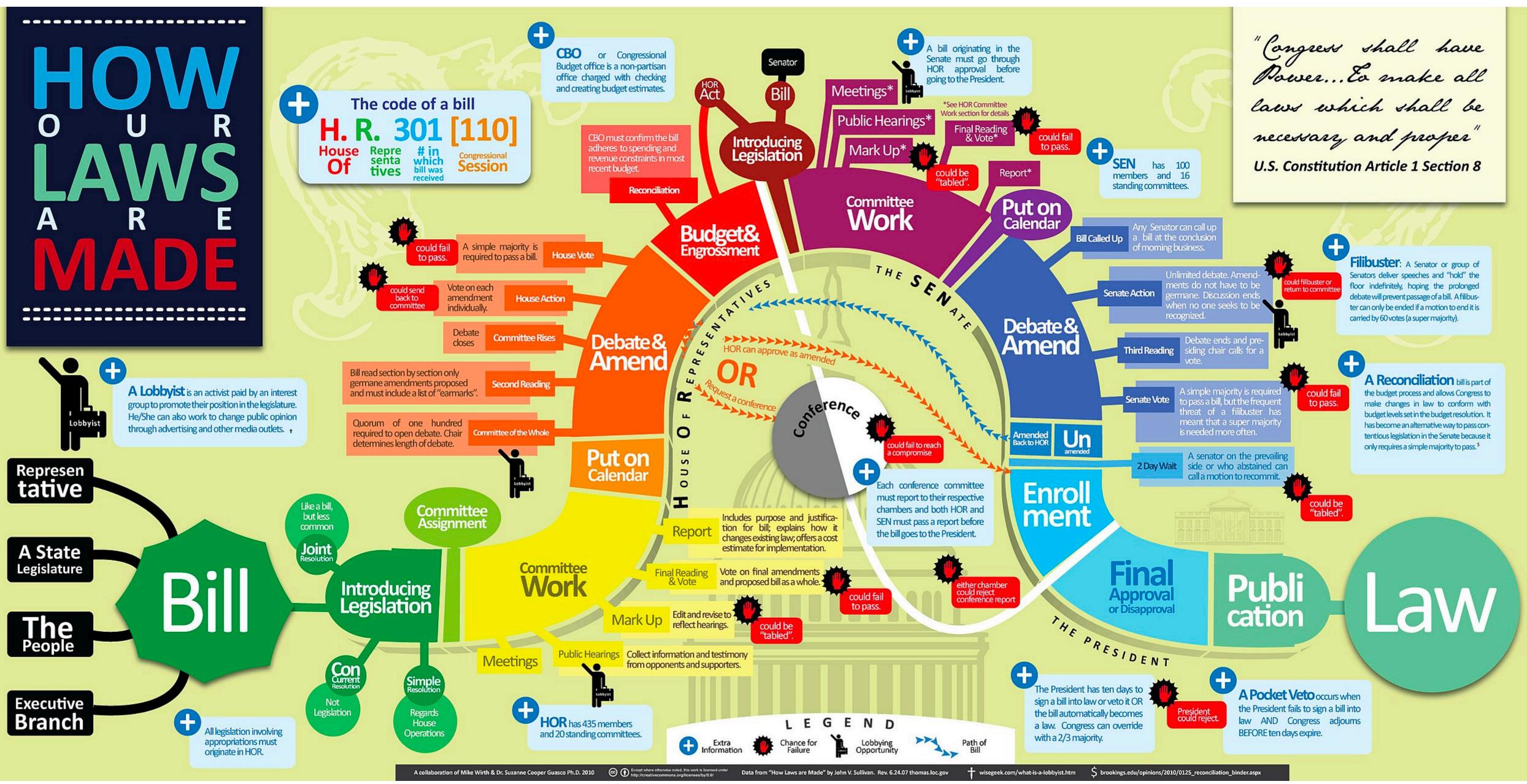
### The Seniority System

- System under which members were guaranteed chairmanship of a committee if they were the most senior member in the majority party
- This means that long-serving southern Democrats held the chairs for many pivotal committees...and they were set on blocking civil rights legislation



## **Remaking the Rules in Congress**

- In the 1970's the seniority system is abolished
  - Speaker of the House chooses the chairman of the Rules Committee
  - Committee assignments now made through "Steering and Policy" committee • which is stacked with leadership picks
- Power beginning to centralize with majority party leadership
  - Once it was the case the majority leadership simply kept the trains running... •
  - ...now majority leadership possess all-encompassing power
  - Q: Why would committee chairs matter so much to leadership power?



## **Remaking the Rules in Congress**

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- Q: Why would rank-and-file members cede power to leadership?

# A Theory of Conditional Party Government (CPG)

- Multiple goals: reelection, policy goals, power
  - How much emphasis is placed on each depends on the member
- As a consequence, **CPG** predicts that a party's strength within the legislature will be determined by the homogeneity of preferences within each party
  - If parties are heterogeneous, members *will not* delegate power
  - If parties are homogeneous, members *will* delegate power
- cede power to leadership?

• Q: Why would diversity in party preferences impact members' willingness to

# A Theory of Conditional Party Government (CPG)

- **Under heterogeneous parties...** •
  - •
  - Members may *prefer* policy outcomes that align with the other party •
  - Will not cede power; weaker party power
- Under homogeneous parties... •
  - Policy outcomes that favor the other party will likely be less desirable •

  - Will cede power; stronger party power •
- Party power is government is, therefore, conditional
  - •

Members fear giving away power, policy outcomes may not align with their prefs.

Members have an incentive to try to resolve collective action problems to pass policy

Parties are strong or weak conditional on their usefulness to politicians



### What is party power?

## **Majority Party Leadership Power – Cartel Theory**

- Parties as cartels (not drug cartel, think law or accounting firm)
  - Senior partners; junior partners
  - Each member has equal voting power; but agenda setting power is unequal

### **Party Power = Agenda Power**

- •
- Agenda power is concentrated in majority party senior partners
  - leader, important committee chairs...)

Agenda power: ability to choose what does (and doesn't) come up for consideration

• Senior partners: Speaker of the House; other majority party leaders (whips, majority

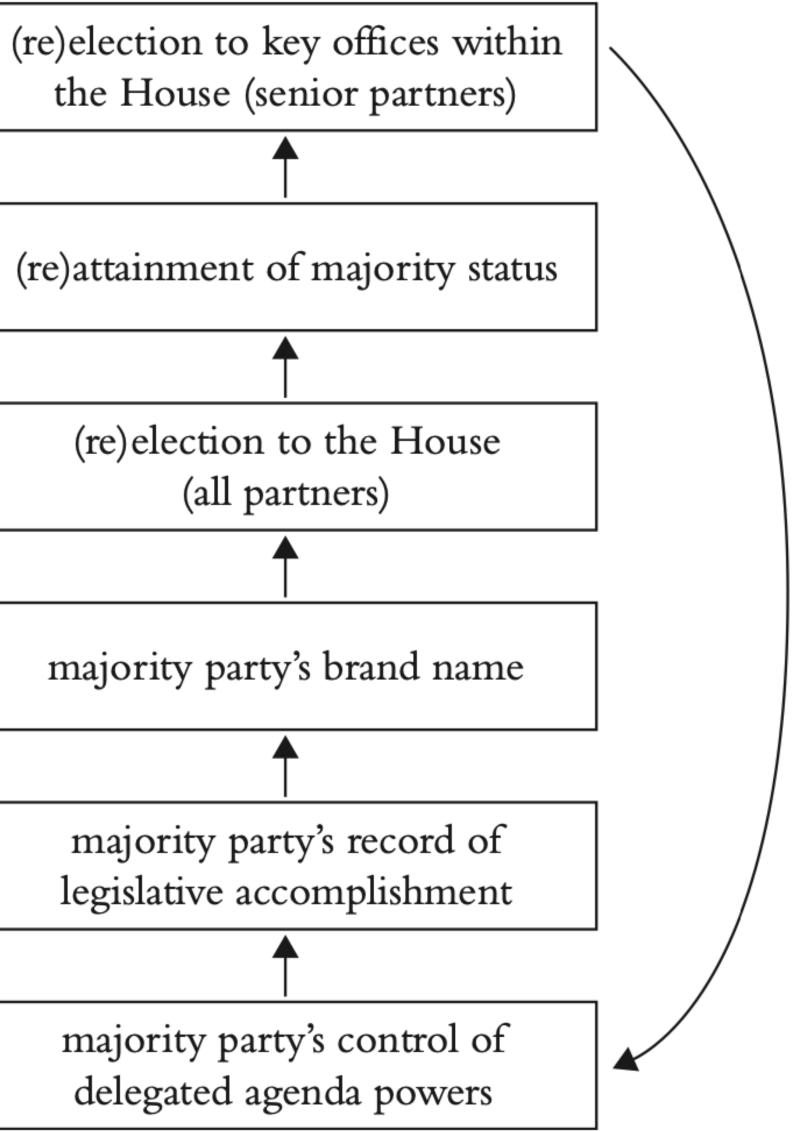
# **Majority Party Leadership Power – Cartel Theory**

- Leadership exercises positive agenda control
  - Advancing bills that are highly favored by the party
- Leadership exercises negative agenda control
  - •
- Leadership possesses carrots and sticks
  - Carrot: benefits for district, pet project advancement •
  - Stick: removal from committee, skipped for promotion
- they can build of legislative accomplishments

Avoiding legislation that will split the party, that is favored by the minority party

# • The more powerful majority party leadership is, the better of a record

Figure 15.2. Majority-party agenda control and legislative success.



### What happens when...

- - If "activist" primary voters are more ideologically-extreme, they will pull their representatives to the extremes as well
  - If primary voters are *not* systematically extreme, this will create preference diversity within the parties

### Leadership are not able to satisfy party members (Cartel Theory)

- Cartel sticking together is built on (1) fear of punishment, (2) members need for party brand name to remain untarnished, and (3) the fact that nearly all divisive legislation can be blocked
- Consequences...?

### Heterogeneity comes from disagreement within a central ideology (CPG)







Economy

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Watchlist Lifestyle

CONGRESS · Updated on September 30, 2023 8:51am EDT

# **Government shutdown looms if Congress doesn't act: What to know**

If government shutdown occurs, federal workers may go without pay, national parks to close





### **U.S. Stock Market Quotes**

Quotes displayed in real-time



## The 2023 2024 Government Shutdown

- What is a government shutdown?
  - Under the Antideficiency Act of 1884, no spending without approval
  - When Congress fails to enact 12 annual appropriations bills that fund the government before the start of the fiscal new year, the government "shuts down"
  - Fiscal appropriations must be enacted by SEPTEMBER 30TH

### What does a shutdown entail?

- Non-essential federal employees are told not to report to work
- Essential employees work without pay
- National parks close down, passport processing stops, food safety inspections cease, small business loans halted...

# The 2023 2024 Government Shutdown

- Why is there a threat of a government shutdown now?
  - November 17th, January 19th, March 1st!)
- spending; refuse to vote for Senate resolution
  - •
  - Q: What options did Speaker McCarthy have? •
  - Q: Why did McCarthy have to try to appease far-right faction?

• Since last fall, there have been a string of **continuing resolutions** — temporary spending bill that funds government operations until a specified date (in this case,

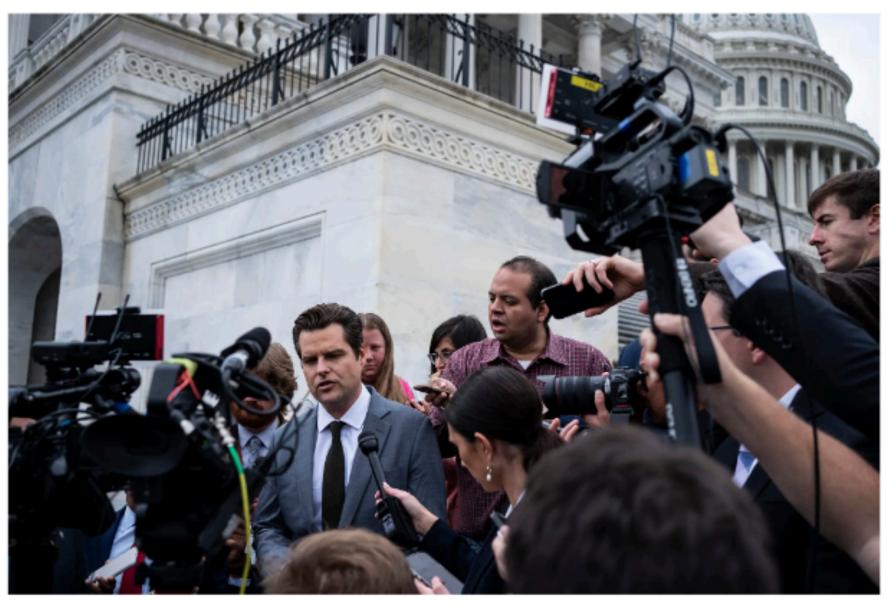
In September 2023, a far-right faction of the Republican Party wanted to cut

Q: Why doesn't far-right faction want to sign on to the continuing resolution?

### **Right Wing Tanks Stopgap Bill in** House, Pushing Government Toward a Shutdown

More than 20 hard-right Republicans defied Speaker Kevin McCarthy and defeated their own party's bill, making a lapse in funding at midnight on Saturday all but certain.





against Mr. McCarthy's funding plan. Haiyun Jiang for The New York Times



By <u>Catie Edmondson</u>, <u>Kayla Guo</u> and <u>Carl Hulse</u> Reporting from Capitol Hill

Published Sept. 29, 2023 Updated Sept. 30, 2023

🖵 1.2K

"Every time we vote for a continuing resolution, we make no changes in policy or spending," said Representative Matt Gaetz, Republican of Florida, who led the charge

# Congress averts shutdown and McCarthy faces speakership fight

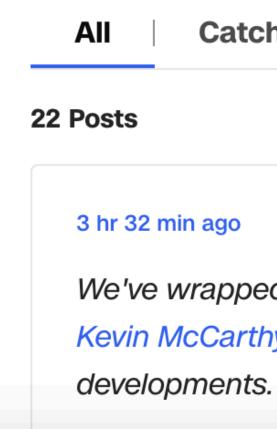
By Maureen Chowdhury and Matt Meyer, CNN

Updated 6:01 PM ET, Sun October 1, 2023

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 Congress passed a stopgap funding bill
Saturday to avert a government shutdown ahead of a midnight deadline. President
Joe Biden signed it late Saturday night,
keeping the government open through
November 17.





Schumer calls out McCarthy during remarks about passing spending bill 01:43

Catch Up | McCarthy | Ukraine Aid

SORT BY Latest ~

We've wrapped up our live coverage for the day. You can read more about the spending bill and *Kevin McCarthy's brewing speakership fight*, or scroll through the posts below for today's developments.



### So why isn't the government shutdown?

## The 2023 2024 Government Shutdown

- McCarthy joins with House Democrats to pass bill (335-91)
  - Senate passes 88-9, Biden signs before midnight to avoid shutdown
- Q: Why did McCarthy end up working with the Democrats?
- Q: What does this tell us about preference cohesion in the Rep. Party?
- Q: Per CPG, do you think Republican Party is strong?
- Q: Per Cartel Theory, do you think Republican Party leadership is strong?

# Inside McCarthy's Shutdown **Turnabout That Left His Speakership** at Risk

The Republican speaker opted to keep the government open the only way he could — by partnering with Democrats — in a surprise reversal that left him as politically vulnerable as ever.

POLITICS

### How the far right could remove McCarthy and why his fate could be in Democrats' hands

Updated October 1, 2023 · 9:28 AM ET ①



### Matt Gaetz says he will attempt to oust Kevin **McCarthy from the speakership this week**



By Aileen Graef, CNN ed 11:52 AM EDT. Sun October 1, 2023







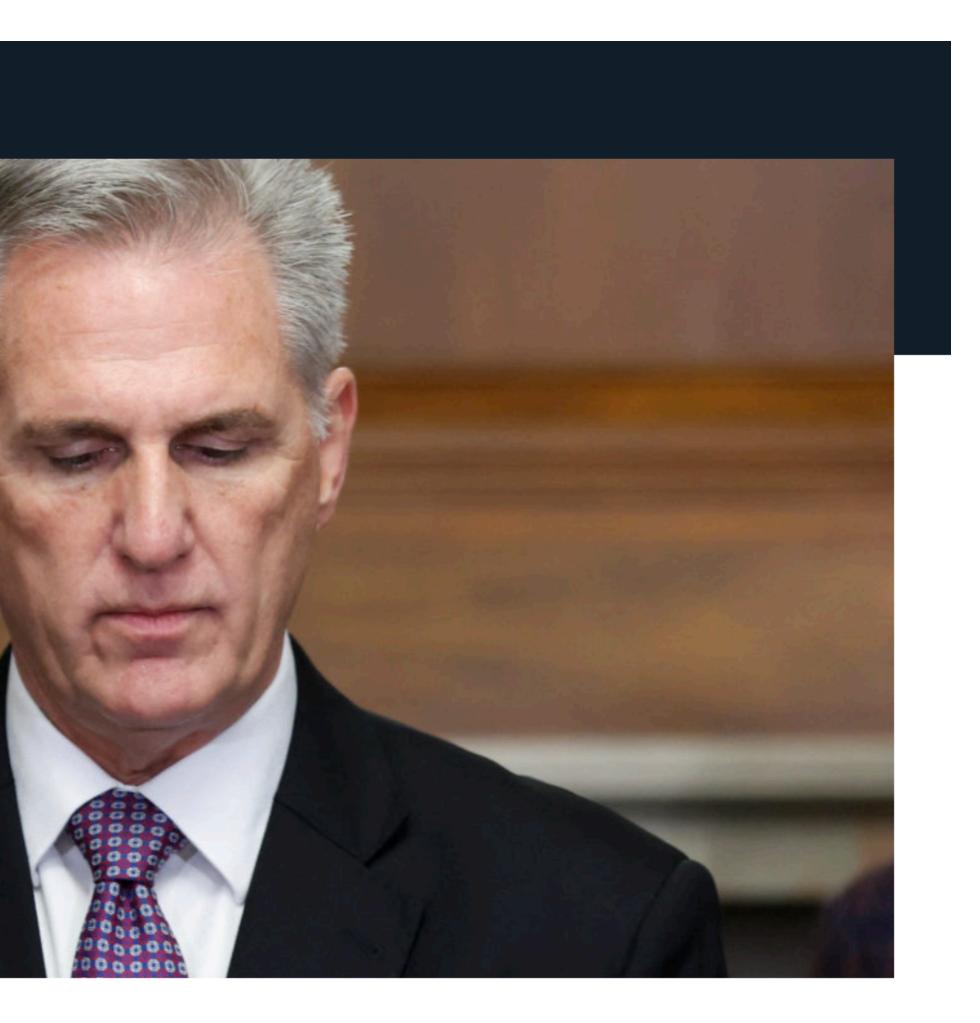
Menu

By – Lisa Mascaro, Associated Press

By – Farnoush Amiri, Associated Press

# Kevin McCarthy ousted as House speaker in dramatic vote

l eave vour



### House speaker forced to choose whether to save the government or himself



by Joan McCarter for Daily Kos **Daily Kos Staff** 

L Friday, February 16, 2024 at 1:15:12p EST

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### CONGRESS

### The government funding fight is about to flare again

Top lawmakers have less than three weeks to finish the first half of a federal spending agreement that's expected to top \$1.7 trillion, with the first of two deadlines hitting on March 1.





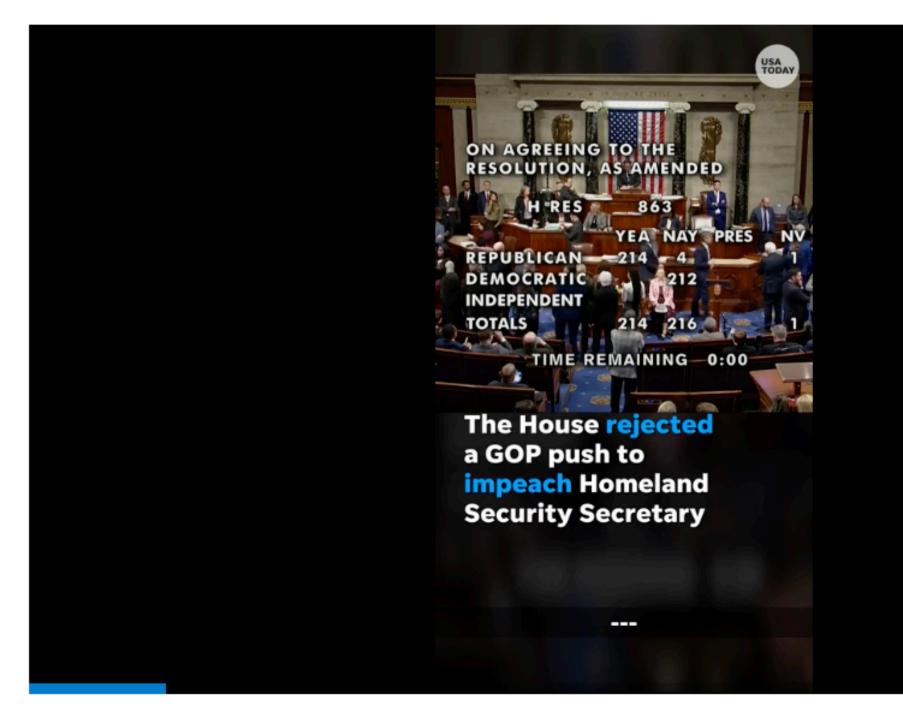


### Mike Johnson and House **Republicans suffered back-to-back**, embarrassing defeats. What comes next?



Published 2:37 p.m. ET Feb. 7, 2024 Updated 3:44 p.m. ET Feb. 7, 2024





### House fails in vote to impeach Sec. Alejandro Mayorkas over border crisis

The House vote to impeach Homeland Security Sec. Alejandro Mayorkas failed by a count of 214-216, with a handful of Republicans joining Democrats.

WASHINGTON – Republicans in the House had perhaps one of their most embarrassing and confusing days in recent memory on Tuesday.

GOP leaders called votes on two key legislative priorities for Republicans: impeaching Homeland Security Secretary Alejandro Mayorkas and funding U.S. aid to Israel. Both of those votes failed in back-to-back, stunning losses on the House floor, and Republicans suffered what they described as disappointing and demoralizing defeats.

"Not good," Rep. Ralph Norman, R-S.C., said.

"Very frustrating," Rep. Ryan Zinke, R-Mont., reflected.

"Shameful. Embarrassing," remarked Rep. Troy Nehls, R-Texas.

